

## Niclas Rockborn

Partner



Niclas has been a Partner at Gernandt & Danielsson since 2005. He has over 17 years' experience advising in relation to the regulation of financial institutions. He has extensive experience advising in the banking and insurance sector, the asset management sector, insurance firms, and other financial institutions. He advises on e.g. new licences, new products and services, sanctions and investigations, and day-to-day matters. He also has substantial experience in negotiating international master agreements for OTC transactions, such as ISDA, GMRA and GMSLA. Niclas also advises on matters relating to new rules and regulations, e.g. AIFMD, CRD IV, Solvency II, MiFID II and EMIR.

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### Contact Details

Direct: +46 8 670 66 46  
Mobile: +46 734 15 26 46  
E-mail: [niclas.rockborn@gda.se](mailto:niclas.rockborn@gda.se)

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Gernandt & Danielsson Advokatbyrå KB  
Hamngatan 2, Box 5747  
114 87 Stockholm

### Practice Areas

- Financial Regulation
- Corporate Compliance and Investigations
- Private Equity
- Insurance
- Legal Public Affairs

### Experience

- Gernandt & Danielsson since 1998 (Partner 2005)
- Seconded, Slaughter and May, London, 2002

### Education

- LL.M., Uppsala University, 1998
- Visiting student, University of Arizona, Tucson, USA, 1997–1998

### Languages

- Swedish
- English

### Legal Community

- Member of the Swedish Bar Association since 2003
- Member of the International Bar Association (IBA)
- Member of the Monterey Funds Club (an association of fund specialists from throughout Europe)
- In 2013, Niclas participated in the Swedish Financial Supervisory Authority's panel of experts for the evaluation of certain decisions regarding sanctions and rules
- Niclas assisted the Swedish Investment Fund Association and the Investment Fund Committee in connection with the implementation of the UCITS IV Directive (SOU 2010:78)
- Niclas is ranked at a global level by, among others, Chambers and Partners and IFLR 1000 rankings of lawyers
- Co-author of the chapter relating to Sweden in "The

Banking Regulation Review, Fifth edition" by Jan Putnis (editor) (Law Business Research, 2014)

#### Other

- Article about the regulatory regime applicable to banks
- Proposal for a new act concerning managers of alternative investment funds (AIF)
- MiFID II - Regulatory client briefing